

NYS Consolidated Funding Application # 149379

Organization Name: Village of New Paltz

Project Name: 2025 NYSHCR CFA Consent Order Compliance Sanitary
Sewer Rehabilitation

City: New Paltz **State:** NY

*Created on
July 22, 2025 - 03:55 PM
Application finalized on
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Region

Mid-Hudson

Questionnaire Questions & Answers

Location

Q_3527 US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

18

Q_928 Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

25 Plattekill Road

Q_565 Project City

New Paltz

Q_972 Project county or counties.

Ulster

Q_568 Project State

NY

Q_572 Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

41.74641142000007

Q_573 Project Longitude (This question's value will be filled automatically, based on the project address,

when the application is finalized.)

-74.08399949299996

Q_184 NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

103

Q_190 NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

41

Q_1034 Project ZIP Code. (please use ZIP+4 if known)

12561

Q_616 For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

Sewer work at or in (1) Main Street, (2) North Manheim Boulevard, (3) Millrock Road, (4) North Oakwood Terrace, (5) John Street and (6) Oakwood Court.

Basic

General Project Information

Q_549 Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:

Limited Liability Corporation (LLC)

Limited Liability Partnership (LLP)

Sole Proprietorship

S Corporation

C Corporation

Limited Partnership (LP)

Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:

501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;

501(c)(2) Corporations that hold a title of property for exempt organizations;

501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;

501(c)(4) Nonprofit organizations that promote social welfare;

501(c)(5) Labor, agricultural, or horticultural associations;

501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;

501(c)(7) Recreational organizations; and

Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:

Federal

State

County

City

Town

Village

Tribal

School District

County or Town Improvement District

District Corporation

Public Authority

Business Improvement District

Fire District

Board of Cooperative Education Services (BOCES)

Public Library

Association Library

Other- applicant will be required to list their other government designation.

Government

Q_15478 Select the government entity of the applicant applying for funding:

Village

Applicant

	Answer
Organization Legal Name	Village of New Paltz
Applicant First Name	NA
Applicant Last Name	NA
Street Address	25 Plattekill Avenue
City	New Paltz
State	NY
Zip Code (use ZIP+4 if known)	12561
Telephone Number (include area code)	845-255-0130
Email Address	clerk@ villageofnewpaltz.org

Contacts

	Primary Contact	Contact Authorized to Execute Contract if Awarded	Additional Contact
First Name	Nancy	Tim	Nicole

Last Name	Branco	Rogers	MacLean
Title	Treasurer	Mayor	Deputy Village Clerk
Organization	Village of New Paltz	Village of New Paltz	Village of New Paltz
Street Address	25 Plattekill Avenue	25 Plattekill Avenue	25 Plattekill Avenue
City	New Paltz	New Paltz	New Paltz
State	NY	NY	NY
ZIP Code	12561	12561	12561
Telephone Number	845-292-0130	845-292-0130	845-292-0130
Email Address	treasurer@villageofnewpaltz.org	mayor@villageofnewpaltz.org	clerk@villageofnewpaltz.org

Q_16509 Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Preliminary Engineering

Standard Question

HCR - Community Development Block Grant (CDBG) - Public Infrastructure

Q_13727 Please provide the Federal Tax ID and the Unique Entity ID (UEI) for the Unit of Government serving as the Applicant.

Federal Tax ID = 14-6002335

Unique Entity ID = ER5ALYRK9BY8

Abstract

Q_17824 Provide a brief abstract of the proposed project. The abstract must include formal applicant name, location of project, amount of request, general purpose of the project, and project beneficiaries. Sample text: [City, Town, Village, County Name] will use \$500,000 in NYS CDBG funds to [one sentence description of project]. The total project cost will be \$2,000,000 with \$1,500,000 from other grant sources (specify). The project proposes to benefit 50 low-and moderate-income persons.

New Paltz Village will use \$1,500,000 in CDBG funds and \$188,700 of firmly committed Village funds to replace sewer mains and manholes. The Village must comply with a 2003 Consent Order, re-issued in 2014, imposed in response to raw sewage overflows caused by excessive inflow and infiltration (I&I). Hydraulic overloading has caused raw sewage to erupt from manholes onto the streets and into Walkkill River. Sewer replacement will occur on (1) Main Street - from Harrington Street to Eastern Village Boundary, (2) North Manheim Boulevard, (3) Millrock Road - John Street to Henry W. Dubois Drive, (4) North Oakwood Terrace - John Street to Henry W. Dubois Drive, (5) John Street - North Manheim Boulevard to Prospect Street and (6) Oakwood Court - to Grove Street. 2,465 LMI persons will benefit.

Description of Community and Project

Q_17340 Provide a brief history of the community or project area with a concise description of the project and need to be addressed. Use quantitative data and information to describe the need for the project. Include a tentative schedule of key milestones.

New Paltz' sewer system is plagued by sewage overflows due to excessive infiltration and inflow (I & I).

In 2003 the Hudson Valley experienced excessive precipitation. High precipitation acting on aging sewers, undersized sewage conveyance pipes and siphons overloaded the sewer collection system. Sanitary sewage unable to discharge into the WWTP overflowed onto the ground at various manholes. Raw sewage is a health threat. NYS DEC issued a Consent Order in September 2003. The Order outlined a Remediation & Restoration Schedule.

Village DPW reported sewage erupting from 4 manholes in 2013. NYS DEC issued a NOV in September 2013 and re-issued the Consent Order in 2014 with a new schedule of compliance stipulating actions to avert fines up to \$37,000 per day. A new NOV in 2022 cited sewage erupting on Water St, Mulberry St, Broadhead Ave and Huguenot St.

Despite continued efforts to reduce I & I, the Village continues to experience sewage overflows during severe storms. During May 2025 sewer overflows were reported at manholes on Broadhead Avenue, Mulberry Street, Prospect Street and Mohonk Avenue.

New Paltz has kept current with DEC's compliance schedule for reducing I & I by completing multiple sewer replacing/relining projects including eight CDBG projects. A 9th project (793PR131-23) is underway in Summer 2025 while a 10th project (793PR115-24) was bid in July 2025.

A 2016 Engineering Planning Grant identified remaining aging sewers and manholes contributing to I & I. A 2019 EPG report targeted the remaining streets with deteriorated sewer mains and manholes. The report is the basis for addressing the portions of the sewer collection system most in need of replacement/relining.

To continue reducing I & I system-wide the Village must replace or rehabilitate sewer mains and manholes not already addressed at the following locations: (1) Main Street, (2) North Manheim Boulevard, (3) Millrock Road, (4) North Oakwood Terrace, (5) John Street and (6) Oakwood Court.

Previous Efforts

Q_17341 Describe other planning and community development efforts to address the need. How is this project consistent with existing plans or initiatives at the local, county or state level? Describe its consistency with a Regional Economic Development Council strategic plan or initiative.

This project benefits all sewer users including SUNY New Paltz. SUNY New Paltz was approved for the Start-UP NY program which is transforming communities into tax-free sites for new and expanding business. World businesses can operate tax free for 10 years. Start-UP NY goals coincide with the Global NY initiative making global trade a priority by encouraging deals between startup companies and foreign markets. Our CFA 2025 project will foster job creation through economic investment in the community by replacing aging infrastructure.

We support the goals of the Region's Strategic Plan:

4. Promote infrastructure investments in priority growth areas and established city or village centers to take advantage of our region's existing infrastructure. (See Goal VI)

Goal VI. Support the revitalization of our urban centers as engines of regional prosperity

3. Encourage a State commitment to making improvements to existing infrastructure in urban centers more cost-effective. (see Goal IV)

Financial Need

Q_17493 Is CDBG funding necessary to induce the project? See question requirements for further guidance.

This CFA 2025 CDBG request will not substitute for other sources. The budget is based on a July 2025 PER cost estimate produced by Brinnier & Larios, PC. That firm produced the cost estimates for our ongoing #793PR131-23 and #793PR115-24 projects.

New Paltz cannot finance this \$1,500,000 (\$1,688,700 total less \$188,700 local) project without assistance. If unsuccessful the Village must still proceed through borrowing. The penalty for not proceeding can be steep; if the Village does not complete this project during 2026/2027 it can be fined up to \$37,500 per day under the terms of the ongoing Consent Order.

The cost of sanitary service per EDU is \$648.24 per year, the sum of O&M and debt service.

We considered alternatives to CDBG:

USDA Water & Waste - USDA approved a \$5,531,500 water filtration plant upgrade request in 2016 with a "loan only" offer. Since USDA offered no grant funds it is unlikely USDA will offer anything other than another loan for this smaller \$1,688,700 project. Traditional bonding proved less expensive than USDA for the water filtration plant.

EFC CWSRF - In 2021 the Village submitted its multi-phase sewer rehabilitation project for listing on the IUP Multi-Year List. EFC scored this project at 106 points. IUP updates were submitted in 2022 and 2023. The Final 2024 CWSRF IUP showed our project #C3-7299-03-00 still scored 106 points.

In 2022 EFC reduced the scoring line for hardship status (0% loan) to 41 points. Our project qualifies for the hardship 0% interest rate.

A 28 year CWSRF loan for \$1,688,700 at the 0% rate would result in an additional \$60,310.71 in debt service per year or \$20.94 more per EDU.

Without CDBG the new cost per EDU per year would be the sum of the existing \$648.24 plus \$20.94 in new debt service. The resulting \$669.18 typical charge per EDU would be 1.13% of MHI.

With CDBG funds New Paltz Village would see only an additional \$5.10 in debt service; the cost per EDU would remain an affordable \$653.34 per year.

Needs Documentation

Q_17342 Describe the documentation attached to this application to support demonstrate need for the project and CDBG funding, specifically, the documents attached to Q_17424.

The following documents are uploaded at Q_17424.

Capital Reserve Fund: New Paltz maintains utility reserve funds accounts for its sewer

utility and water utilities. A June 2025 account printout show \$83,924.97 in the sewer utility reserve account;

Operation & Maintenance Plan: We provided a full copy of the sewer utility Facility Maintenance Plan employed by New Paltz' Water & Sewer Department;

Sewer Utility Budget: Pages from the Village's adopted budget show sewer utility revenue and expenses for 2025/2026;

Cost Estimate: Brinnier & Larios, PC provided a May 2025 Opinion of Probable Costs. Notes indicate compliance with prevailing rates, procurement standards and BABA;

Consent Orders-Notices of Violation-Sewer Overflow Reports - Documentation of NYS DEC enforcement actions are provided;

Engineering Report: Current July 2025 report and addresses project planning, existing facilities, need, alternatives, selection of alternative, proposed project and conclusions/recommendations.

National Objective

Q_17343 Describe in detail how the project will meet HUD's National Objective(s). Details discussed here should align with the documentation attached to Q_17365. See question requirements for further guidance.

New Paltz's proposed project will benefit all Village residents. All Village residents are served by the public sanitary sewer system. The problems described effect all sanitary sewer users. The solution to the problem described will thus benefit all Village residents.

All Village residents are currently effected by the problems caused by overflowing sewer mains. In a July 2025 preliminary engineering report Brinnier & Larios explains how all Village residents are effected by the condition of the broken and leaking sanitary sewer mains that cause excessive Infiltration and Inflow (I&I) into the sanitary sewer system. The result is hydraulic overloading of the lower portion of the sewer collection system resulting in sewage overflows which impact all Village residents. Also refer to the Benefit Area (project location) map included within the Question_17365 upload showing specific areas proposed for sewer main reconstruction by this application.

All Village residents are effected by the fines that can be levied by NYSDEC through the as of yet unresolved Consent Order while all Village residents must pay for costly sanitary sewer system repairs. Uploaded support documentation includes a copy of a Village check for \$5,000 that paid the most recent fine to NYSDEC from the "General Fund".

The benefit area for the proposed sewage main reconstruction is Village-wide. The sanitary sewer system serves the entire Village; all Village residents rely on the public sewer system for waste disposal. Our benefit area coincides with the Village boundary. The Village comprises Census Tract 9535 (Block Groups 1, 2, 3, & 4).

US HUD updated low and moderate income information for non-entitlement communities based on 2016-2020 ACS. In the Program Year 2025 Application Kit NYS DHCR OCR provided a link to HUD's most up to date estimates for LMI populations.

HUD Provided the following low and moderate income characteristics for the Village of New Paltz:

GEOID 3650551
NAME New Paltz Village

PLACE_TYPE Incorporated City/Town
STATE 36
STUSAB NY
LOW 2,020
LOWMOD 2,465
LMMI 2,935
LOWMODUNIV 4,275
LOWMOD_PCT 57.70%

Of 4,275 Village persons enumerated HUD determined that 2,465 were of low and moderate income. In addition to the Village, a small portion of the adjacent Town of New Paltz is served by the Village sanitary sewer system. That area is Town of New Paltz Sewer Districts #1, #5 and #50.

Town Sewer District #1 is comprised entirely of commercial properties without residences. Town Sewer Districts #5 and #50 are residential and contain in total 56 residential EDU's, 47 households and 9 households in single family dwellings respectively.

The resulting benefit area is the entire Village plus the 56 residential households beyond Village limits within the Town of New Paltz. Thus, our benefit area coincides with the Village boundary plus that small portion of the Town served by the Village sanitary sewer system.

The 56 residential households served by this project within the Town contain an estimated 174 persons based on the average household size for the Town of New Paltz in 2020 (US Census American Fact Finder). For purposes of calculating total LMI benefit we counted all 174 benefitting Town residents as non low and moderate-income. The blended LMI percentage is 55.4% as shown below:

New Paltz Village: 4,275 total persons, 2,465 LMI persons, 1,810 Non LMI persons
Town Sewer Districts 5 and 50: 174 total persons, 0 LMI persons, 174 non LMI persons
Benefit Area Totals: 4,449 total persons, 2,465 (55.4%) LMI persons, 1,984 (44.6%) Non LMI persons

Based upon the 2016-2020 ACS for the Village with the inclusion of 56 outside users in the adjoining Town, the overall LMI percentage is 55.4%.

Based on the 55.4% low and moderate income population for the benefit area, our proposed project complies with the National Objective of the NYS OCR Small Cities Program that at least 51 % of beneficiaries are of low and moderate income.

Community Benefit

Q_17344 Describe the long-term benefits, or outcomes, of this project to the community. Include both qualitative and quantitatively measurable outcomes such as linear pipe replaced, persons benefitted, homes rehabilitated, jobs created, etc.

The primary benefit is averting further NYSDEC enforcement action and fines for failure to comply with the Consent Order. The Village must make continued progress to reduce I & I.

To continue reducing I & I system-wide and reduce or eliminate sewer overflows downgradient, the Village must replace or rehabilitate sewer mains and manholes not already addressed at the following locations identified in the EFC Engineering Planning Grant Final Report, or identified by recent DPW experience:

(1) Main Street - from Harrington Street east to Village Boundary, (2) North Manheim Boulevard, (3) Millrock Road - John Street to Henry W. Dubois Drive, (4) North Oakwood Terrace - John Street to Henry W. Dubois Drive, (5) John Street - North Manheim

Boulevard to Prospect Street and (6) Oakwood Court - to Grove Street.

Total proposed replacement/repair includes: 2,400 LF of sewer replacement, 900 LF of sewer relining, replace 16 manholes, rehabilitate 3 manholes and reconnect 53 sewer laterals.

Financial Benefit

Q_17345 Describe financial benefits or cost savings that will accrue to the applicant, its residents, or beneficiaries of the funded activity because of the project. Include a description of any other impacts that will result. Measures may include, but are not limited to, user costs, tax levy, or property values.

The primary financial benefit is averting further for failure to comply with the Consent Order. Despite past efforts the Consent Order will continue until DEC is satisfied that hydraulic overloading from I & I is sufficiently reduced to ensure health and safety. Sewer overflow violations in 2013 led to a re-issued Consent Order in 2014, a \$5,000 fine, a directive to investigate suspect areas and a mandate to continue sewer replacement/relining projects annually or face daily fines up to \$37,500. Overflow incidents have continued into May 2025. Failure to continue making I & I progress will surely result in more fines.

CDBG grant aid will result in lower O & M costs per EDU:

A 28 year CWSRF loan for \$1,688,700 at the 0% rate would result in an additional \$60,310.71 in debt service per year or \$20.94 more per EDU.

O & M would be \$669.18 (\$648.24 existing + \$20.94), 1.13% of MHI.

With CDBG funds added O & M would increase by only \$5.10, remaining at an affordable \$653.34 per EDU.

Budget Design

Q_17346 Provide a detailed budget narrative. Identify each of the estimated costs, including the source of the funds and proposed use. This must include any administrative or program delivery costs. Describe how CDBG funds will be disbursed on a pro-rata basis with other sources, where applicable. Details discussed here should align with the documentation attached to Q_17487 of this CFA.

Below is a summary of the CFA 2025 CDBG Public Infrastructure budget:

1. Construction (Replace and/or Rehabilitate sewer mains and manholes - \$1,445,700 Total)

Source - Pending 2025 CDBG Grant (\$1,445,700)

In an effort to continue to reduce sources of inflow and infiltration system-wide, and to further reduce or eliminate sewer overflows at downgradient locations, the Village is proposing a CFA 2025 CDBG Public Infrastructure project to replace and/or rehabilitate sanitary sewer mains and manholes not already addressed at the following locations identified in the Infrastructure Engineering Planning Grant Final Report, or based on more recent DPW experiences:

(1) Main Street - from Harrington Street to Eastern Village Boundary, (2) North Manheim Boulevard, (3) Millrock Road - John Street to Henry W. Dubois Drive, (4) North Oakwood Terrace - John Street to Henry W. Dubois Drive, (5) John Street - North Manheim

Boulevard to Prospect Street and (6) Oakwood Court - to Grove Street.

Breakdown:

\$1,267,700 Construction Estimate
\$127,000 Construction Contingency
\$51,000 Construction Inspection

These costs are defined by our Opinion of Probable Costs within our Engineering Report at Question_17424.

2. Engineering Services - \$173,000 Total

Source - Pending 2025 CDBG Grant (\$54,300) and firmly committed Village funds (\$118,700)

These costs are defined by our Opinion of Probable Costs within our Engineering Report at Question_17424.

3. Administration Services - \$70,000 Total

Source: Firmly committed Village funds (\$70,000)

These costs are defined by our Opinion of Probable Costs within our Engineering Report at Question_17424.

Summary of Sources:

\$1,500,000 Pending 2025 CFA CDBG Grant

\$118,700 Firmly committed Village of New Paltz funds

\$1,688,700 Total Project Costs

The Village of New Paltz Village Board has firmly committed \$188,700 of local funds to this project. Evidence of this commitment is provided by the documents uploaded at Question_17659.

Project Design

Q_17347 Describe the roles and responsibilities of specific staff members, subrecipients, and/or consultants, and their relevant experience and qualifications. How will the project be managed or administered? Details discussed here should align with the documentation attached to Q_17653 of this CFA.

General CDBG Program Management and Compliance Oversight will be handled by Village Mayor Tim Rogers, Village Clerk/Treasurer Nancy Branco, Deputy Clerk Nicole MacLean and consultant Mark Blauer.

Our Village staff has current, relevant experience as the Village is implementing CDBG #793PR131-23 and #793PR115-24 in 2025.

Mayor Rogers will execute contracts, will designate the authorized signatories for disbursements, will serve as NEPA certifying officer, will execute consultant and construction contracts and will sign reports to OCR. Mayor Rogers will be assisted by Nicole MacLean, Deputy Village Clerk.

Treasurer Nancy Branco will serve as Treasurer and Local Grant Contact. Her roles include coordinating with the consultants and overseeing their performance. Nancy

Branco will maintain project files and will serve as daily contact person for OCR's assigned Community Developer.

The Village selected an Engineering Consultant through a Request for Qualifications (RFQ) process.

Engineering Design, Construction Management and Inspections will be handled by Brinnier & Larios, P.C. Founded in 1956, Brinnier & Larios, P.C. is a community-based engineering and land surveying firm licensed to practice in New York State. The firm presently employs 19 persons.

The Village selected a Mark Blauer dba Blauer Associates as its administrative consultant and has firmly committed via letter and resolution to pay that firm's fees for post approval administrative services using Village funds. Blauer Associates has been serving NYS municipalities as a Community Development Specialist since 1978. Since that date he has served as an administrative consultant on over 275 CDBG projects in New Jersey, New York, Pennsylvania, New Hampshire and Maine.

Construction contract procurement will be handled through a sealed bid process in accordance with New York State law and the New Paltz' procurement policy. The lowest responsible bidder will be awarded the construction contract.

Prior Administrative Issues

Q_17348 If the Applicant has received CDBG and/or HOME funds in the past, describe the outcome of those projects, highlighting any administrative issues that occurred, and what corrective action was established to ensure the issues were resolved. If the applicant has not received CDBG and/or HOME funds in the past, a description of experience using similar state or federal sources may be substituted.

New Paltz has successfully completed and closed out ten (10) CDBG projects: #793PW67-10, #793PW72-11, #793PR9-12, #793PR55-13, #793PR104-15, #793PR177-16, #793PR104-18, #793PR115-20, #793PR80-21 and #793PR168-22. Two (2) further projects are underway; #793PR131-23 will be completed in Fall 2025 while bids for #793PR115-24 are due July 30, 2025.

All but one of the above-noted projects addressed the NYS DEC Consent Order. Although the Consent Order has not yet been lifted, CDBG projects have cumulatively reduced infiltration and inflow sufficiently to avert further NYS DEC enforcement action and fines.

No adverse administrative issues have occurred. When construction costs exceeded CDBG grant funds, notably during construction of #793PR80-21 and #793PR168-22, the Village Board appropriated local funds to complete contracted sewer main and sewer manhole replacement and rehabilitation. No corrective actions were necessary to complete any of the 12 previous and ongoing CDBG projects.

Financial Capacity

Q_17349 How will the applicant exercise strong control and accountability over CDBG funds? Describe the process used to track the movement of grant funds and the personnel involved in these functions.

Control is a joint effort of the engineer, consultant, Treasurer and Village Board.

Construction payments are reviewed for quantities by Brinnier & Larios. Once certified, Blauer Associates confirms labor compliance and funds availability. Payment approval is added to Village Board agenda. Upon Board approval a purchase order is prepared by the

Treasurer's Office for signatures from DPW head, Treasurer' Office and Finance Committee (Mayor and Board member). Bookkeeper processes the check for signature by a Treasurer's Office employee.

A disbursement prepared by Blauer Associates is signed by 2 authorized signatories. Blauer Associates submits disbursement to OCR with invoice and certified payrolls. Treasurer monitors the bank account and expends CDBG funds within 5 days of receipt. The transfer is initiated by the Bookkeeper and approved by Treasurer.

The Treasurer maintains separate project files and provides same to the auditors for the annual audit and single federal audit.

Funding Commitments and Sustainability

Q_17350 Describe the plan of finance and/or services that will sustain the project moving forward. What happens once the project is completed? Details discussed here should align with the documentation attached to Q_17414 of this CFA.

New Paltz' ability to sustain and maintain its sanitary sewer system is evidenced by (1) our Sewer Utility Reserve Account and (2) our sanitary sewer system Facility Maintenance Plan.

The current sewer utility reserve fund account is \$83,924.97. Until 2020 the Village annually deposited \$10,000 to the reserve account. Sewer revenue was vastly reduced during the 2020/2021 COVID pandemic. It was impossible to add to the reserve account during 2020/2021. By the end of Covid-19 sewer reserve account was down to \$403.72 before rebuilding back to \$83,924.97.

New Paltz employs a Facilities Maintenance Plan for its sewer system. The Plan is vital since the ongoing 2003 Consent Order will result in NYS DEC levying further fines if the Village does not diligently maintain its sanitary sewer system. Our Facilities Maintenance Plan is uploaded at Q_17414. The Department's 2025/2026 sewer utility budget is \$1,866,917. The Department's staff of 10 maintains the sewer utility on a daily basis.

Readiness

Q_17351 Describe the applicant's ability to immediately implement the project upon award, including any impediments such as permitting, site control, regulatory issues, environmental mitigation, etc. Provide a description of project related activities that have been undertaken to date in support of the project and attach any relevant documentation to the application to support readiness. Details discussed here should align with the documentation attached to this CFA, including Q's 17833 (Project Schedule), 17368 (Draft Environmental Review), 17659 (Commitment of Funds), and 17694 (Evidence of Site Control)

The Village understands that this project must be completed within 24 months of the award and is prepared to undertake maximum feasible efforts to award a construction contract and submit the first construction payment invoice within six months (Quarter 2 of 2026) of the grant announcement. Via #793PR131-23 New Paltz demonstrated its capacity to design, bid, award and sign a construction contract within 6 months. Similar progress is being made on #793PR115-24; bids will be received in July 2025. Activities or circumstances demonstrating readiness are listed below:

Site Control - Mayor's letter uploaded at Q_17694 confirms ownership of sewer mains/manholes;

Permitting - No permits are required to replace/rehabilitate sewer mains/manholes;

Environmental Review - Draft ERR is uploaded at Q_17368;

Commitment of Funds - Resolution and letter uploaded at Q_17659 confirm \$188,700 of Village funding;

Procurement of Services - Engineering firm was chosen after RFQ solicitation June 2025.

Q_15699 Is the project located in a municipality designated as a Pro-Housing Community, or has the municipality submitted a Letter of Intent initiating the Pro-Housing Community certification process?

Yes

Q_17695 Describe how the project will comply with the Buy American Preference (BAP) under the Build America, Buy America Act (BABAA). Describe any delays associated with procurement or any project related waivers. See question requirements for additional information.

Our July 2025 Cost Estimate specifically factors in the requirements of "Build America, Buy America Act (BABAA)" with the inclusion of the following note:

"Project Cost Estimate has been prepared after review of the "Build American-Buy America" (BABA) legislation, and is in compliance with same."

Within our uploaded Engineering Report we confirm that this application will comply with "Ten States Standards" and "Build American-Buy American" as follows:

"All project components are being designed and constructed in strict accordance with "Ten State Standards" (GLUMBR - Recommended Standards for Wastewater Facilities-latest edition)" - see page 16 .

and

"It is noted that the Project Cost Estimate has been prepared after reviewing and is compliant with the BABAA legislation "Build American-Buy American Act". It is specifically noted that all elements of the project (Pipe, Lining Systems, Manholes, backfill materials) are both readily available and manufactured in the United States." - see page 16.

We have estimated in our timeline that the draft bid package will be submitted to OCR in April 2026. The draft bid package prepared by our design engineer will require that bid responses include a materials certification and copies of any relevant general waivers to indicate compliance with BABAA.

New Paltz Village is already complying with BABAA requirements during implementation of OCR #793PR131-23 and will continue to do so with soon to be contracted OCR #793PR115-24. BABAA requirements were in the bid package for OCR #793PR131-23 and New Paltz Village is monitoring compliance of the construction contractor. Similarly BABAA requirements are in the bid package for OCR #793PR115-24. OCR #793PR131-23 and OCR #793PR115-24 are very similar to our proposed CFA 2025 Public Infrastructure project in that they will also replace sanitary sewer mains, replace sanitary manholes and undertake sewer lateral re-connections.

It is further noted that both labor shortages in the local construction trades and supply chain issues associated with the COVID pandemic have eased significantly. OCR #793PR131-23 drew five (5) qualified bidders (bid date April 18, 2024). OCR #793PR115-24 was advertised for bids on July 2, 2025 with bids to be received on July 30, 2025.

Q_15083 Application Preparer First Name

Mark

Q_15084 Application Preparer Last Name

Blauer

Q_15086 Application Preparer Email

mblauer@ evenlink.com

Certification

Q_1038 By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

Tim Rogers

Net New Jobs

No job answers necessary due to your associated programs.

Qualified Investments

No investment answers necessary due to your associated programs.

Project Totals

Total project cost: \$ 1,688,700

Funding Requested from Program

Program		Amount Requested	
HCR - Community Development Block Grant (CDBG) - Public Infrastructure	\$	1500000	maximum funding allowed: \$2,000,000

Program Budget

HCR - Community Development Block Grant (CDBG) - Public Infrastructure

Use	Source	Status	Amount	Indicate Source / Comments
Infrastructure/Site Work	HCR - CDBG Public Infrastructure	Requesting in this Application	\$1394700	OCR CDBG Small Cities funds to be used for Construction and Construction Contingencies
Infrastructure/Site Work	HCR - CDBG Public Infrastructure	Requesting in this Application	\$51000	OCR CDBG Small Cities funds to be used for Construction Inspection Services.
Architectural and Engineering	HCR - CDBG Public Infrastructure	Requesting in this Application	\$54300	OCR CDBG funds to be used to retain Brinnier & Larios, PC for engineering design, contract administration and survey services.
Architectural and Engineering	Local	Secured	\$118700	Village of New Paltz funds to be used to retain Brinnier & Larios, PC for engineering design, contract administration and survey services.
Program Administration	Local	Secured	\$70000	Village of New Paltz funds will be used to retain Blauer Associates to provide administrative services to assist the Village to administer CDBG funds.

Attachment Questions & Answers

HCR - Community Development Block Grant (CDBG) - Public Infrastructure

Q_17357 Attach documentation of compliance with CDBG citizen participation requirements. Failing to submit all required documents may render the application ineligible. See question requirements for a detailed list of documents and additional guidance.

CFA 2025 Q 17357 Citizen Participation Documents Upload New Paltz Village July 2025.pdf
[Download](#)

Q_17652 Attach Program Income Report Form

CFA 2025 Q 17652 Program Income Report Upload New Paltz June 2025.pdf
[Download](#)

Q_17653 Attach Project Team Form

CFA 2025 Q 17653 Project Team Upload New Paltz June 2025.pdf
[Download](#)

Q_17654 Attach Smart Growth Impact Evaluation Form

CFA 2025 Q 17654 Smart Growth Compliance Form Upload New Paltz June 2025.pdf

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Q_17487 Attach Budget Table. The budget must be consistent with the description provided in the application and reflect the total project cost (TPC) of all components. See question requirements for further guidance.

CFA 2025 Q 17487 Budget Table Upload New Paltz June 2025.pdf
[Download](#)

Q_17833 Attach the Project Schedule form

CFA 2025 Q 17693 Project Schedule Upload New Paltz Village July 2025.pdf
[Download](#)

Q_17693 Applicant Certification Form

CFA 2025 Q 17693 Applicant Certification Upload New Paltz June 2025.pdf
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Q_17365 Attach documentation of compliance with HUD's National Objectives. Material attached here should align with the details described in Q_17343. Information related to the appropriate type of documentation can be found in question requirements.

CFA 2025 Q 17365 Compliance with HUD's National Objective Upload New Paltz Village July 2025 July 2025.pdf
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Q_17359 Attach documentation of Affirmatively Furthering Fair Housing (AFFH) compliance. Refer to question requirements for further guidance.

CFA 2025 Q 17359 Affirmatively Furthering Fair Housing Compliance Upload New Paltz Village June 2025.pdf
[Download](#)

Q_17368 Attach the draft Environmental Review Record. See question requirements for further guidance.

CFA 2025 Q 17368 Environmental Review Record Upload New Paltz Village July 2025.pdf
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Q_17659 Attach all funding commitment letters, approval/rejection letters from funding agencies, evidence of bank financing, and any other supporting documentation demonstrating that non-CDBG funds have been pursued. Statements that describe a self-analysis of other funding sources do not constitute an effort to pursue other funding.

CFA 2025 Q 17659 Funding Commitments Approval-Rejection Letters Unsuccessful Attempts Upload New Paltz Village July 2025.pdf

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Q_17660 Attach a Vendor Responsibility Questionnaire (VRQ) completed by the subrecipient, if applicable.

CFA 2025 Q 17660 Vendor Responsibility Questionnaire Upload New Paltz Village June 2025.pdf
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Q_17414 Attach documentation of the plan of finance to sustain or maintain the proposed services or improvements, as applicable. This includes evidence that with all future commitments, financial or otherwise, the investment of CDBG funds will be protected.

CFA 2025 Q 17414 Plan of Finance to Sustain and Maintain and Future Commitments Upload New Paltz Village July 2025.pdf
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Q_17694 Attach purchase agreements, property acquisition, easements or other documentation that supports the status of site control, if applicable

CFA 2025 Q 17694 Site Control Confirmation Upload New Paltz June 2025.pdf
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Q_17667 If the application is a joint application, attach a cooperation agreement and a resolution authorizing a joint application and one of the units of local government as the primary applicant. See question requirements for further guidance.

CFA 2025 Q 17667 Cooperation Agreement - Joint Application Upload New Paltz Village June 2025.pdf
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Q_17424 Attach documentation demonstrating the need for the project and for CDBG funds. See question requirements for further guidance.

CFA 2025 Q 17424 Need-Cost Estimate-PER-Consent Orders-Novs Upload New Paltz Village July 2025.pdf
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Q_17489 Attach the required documents specific to CDBG Public Infrastructure activities. See question requirements for further guidance.

CFA 2025 Q 17489 Attach the Required Documents Specific to CDBG Public Infrastructure Activities Upload New Paltz Village July 2025.pdf
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Legend

[x] = Expired Program